# **ORIGINAL ARTICLE**

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# Predictive factors of dialysisdependent chronic kidney disease associated with ANCA vasculitis

Fatores preditivos de doença renal crónica dependente de diálise nas vasculites ANCA

Ana L. Antunes¹; Andreia Campos²; Sofia Santos²; Jorge Malheiro²,³; Pedro Aguiar²; Ramón Vizcaíno ³,⁴; Josefina Santos²,³; Luísa Lobato²,³; António Cabrita²

- <sup>1</sup> Department of Internal Medicine, Hospital de Braga. Braga, Portugal.
- <sup>2</sup> Department of Nephrology, Centro Hospitalar do Porto Hospital de Santo António. Porto, Portugal.
- 3 Multidisciplinary Unit for Biomedical Research UMIB, Instituto de Ciências Biomédicas Abel Salazar, University of Porto. Porto, Portugal.
- <sup>4</sup> Department of Pathology, Centro Hospitalar do Porto Hospital de Santo António. Porto, Portugal.

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### ABSTRACT

Renal involvement in antineutrophil cytoplasmic antibodies (ANCA) associated vasculitis is frequent and, if there is no response to treatment, progression to end-stage renal disease is fast, leading to increased mortality. We evaluated several factors (clinical, analytical and histological) as predictors of progression to dialysis-dependent stage 5 chronic kidney disease within 2 years after diagnosis of kidney biopsy-proven ANCA-associated vasculitis (outcome), between 1997 and 2010. Twenty-seven patients (16 men, mean age 58 years) met the inclusion criteria. The most common extra-renal manifestations were haematological (93%) and systemic symptoms (70%). At the time of biopsy, mean creatinine and proteinuria were 5.11 ± 2.5mg/dL and 2.36 ± 2.1g/day, respectively. The majority of patients (81%) had ANCA against myeloperoxidase. The induction therapy was with corticosteroids and cyclophosphamide in 71%; 40% received maintenance treatment with azathioprine. At 2 years, 12 patients (27.44%) began renal replacement therapy (RRT). Only a higher serum creatinine at diagnosis, within the clinical and analytical variables analysed, was a significant predictor of renal outcome (odds ratio (OR) = 1.73, p =o.o46) in a logistic regression model adjusted for age and sex. We developed a histological index (o to 1 point considering the absence or presence of: < 30% of normal glomeruli, > 50% cellular crescents, > 30% glomerulosclerosis, moderate-severe tubular atrophy and interstitial infiltrate), which was associated with renal prognosis at 2 years (OR = 2.07, p = 0.043). This means that for each 1-point increase in the created index the likelihood of needing to RRT to 2 years rises 2.1 times. We then stratified the histological variables into glomerular and tubulointerstitial findings. We found that only the glomerular findings (OR = 4.99, p = 0.049) were independent predictors of the outcome, with glomerulosclerosis (OR = 16.7, p = 0.04) being the most significant. We concluded that baseline serum creatinine and glomerular histological findings were independent predictors of the renal prognosis and may prove helpful in the management of these patients.

**Key-Words:** Chronic kidney disease; predictors; prognosis; ANCA vasculitis.



#### **RESUMO**

O envolvimento renal nas vasculites associadas aos anticorpos anticitoplasma de neutrófilos (ANCA) é frequente e, na ausência de resposta ao tratamento, evoluem rapidamente para doença renal terminal, com aumento da mortalidade. Avaliamos vários fatores (clínicos, analíticos e histológicos) como preditores da evolução para Doença Renal Crónica Estadio 5 com necessidade de diálise aos 2 anos em doentes com envolvimento renal por vasculite ANCA confirmado por biópsia ("outcome") entre 1997 e 2010. Vinte e sete doentes (16 do sexo masculino, idade média de 58 anos) obedeceram aos critérios de inclusão. As manifestações extra-renais mais frequentes foram hematológicas (93%) e sintomas sistémicos (70%). À data da biópsia a creatinina e a proteinúria média eram 5,11 ± 2,5mg/dL e 2,36 ± 2,1g/dia, respectivamente. A maioria dos doentes (81%) tinha ANCA contra mieloperoxidase. A terapêutica de indução foi em 71% com corticoides e ciclofosfamida; 40% receberam tratamento de manutenção com azatioprina. Aos 2 anos, 12 (27,44%) iniciaram técnicas de substituição renal (TSR). Apenas a creatinina mais elevada à apresentação, dentro das variáveis clínicas e analíticas, foi preditor significativo de "outcome" (odds ratio (OR) = 1,73; p =0,046), num modelo de regressão logística ajustado para a idade e sexo. Desenvolvemos um índice histológico (o ou 1 ponto considerando a presenca de: < 30% de glomérulos normais, > 50% crescentes celulares, > 30% glomerulosclerose, infiltrado intersticial e atrofia tubular moderados-graves), que se associava com o prognóstico renal aos 2 anos (OR = 2,07, p = 0,043). Isto significa que, por cada aumento de 1 ponto no índice criado, a probabilidade de necessidade de TSR aos 2 anos torna-se 2,1 vezes maior. Depois estratificamos as variáveis histológicas em achados glomerulares e tubulointersticiais: verificamos que apenas os primeiros eram preditivos do prognóstico (OR = 4,99, p = 0,049), sendo a glomerulosclerose a mais significativa (OR = 16,07, p = 0.04). Concluiu-se que a creatinina e os achados histológicos glomerulares são preditores independentes do prognóstico renal, podendo mostrar-se úteis no acompanhamento destes doentes.

Palavras-chave: Doença renal crónica; preditivos; prognóstico; vasculite ANCA.

#### INTRODUCTION

Primary small-vessel vasculitis have the common histological hallmarks of inflammation and fibrinoid necrosis of small-vessel walls with few or no immune deposits. With unknown aetiology, they are also called ANCA-associated systemic vasculitis (AAV), because of their frequent association with antineutrophil cytoplasm antibodies (ANCA)1. The most common types of ANCA are antibodies directed against proteinase-3 (PR3) and myeloperoxidase (MPO)2. The incidence of these diseases is increasing, and occurring more often in an elderly population, with a peak age between 55 and 70 years old<sup>3</sup>. The clinical manifestations are diverse and may be limited to the kidney or compromise upper airway, lungs, skin and other organs in different combinations. However, renal and respiratory manifestations are more common. The introduction of glucocorticoids and cyclophosphamide (Cyc), has transformed overall survival<sup>4</sup>. The 5-year survival rates are approaching 80%, but relapse and low-grade persistent disease result in survival with high degree of morbidity<sup>5</sup>. Worse prognosis is related to diagnosis delay, drugrelated toxicity, refractory disease and propensity to relapse<sup>6</sup>. In approximately 10 to 15% of patients, renal function is inadequately restored<sup>7</sup>. If immunosuppressant therapy fails, regular dialysis is the only treatment option, apart from kidney transplantation. In the meantime, these patients have been exposed to the potentially lethal adverse effects of the immunosuppressant drugs. It is a clinical challenge to distinguish at onset patients who will benefit from immunosuppressant therapy and those who will not, because in irreversible kidney damage it may be justifiable to opt for the renal replacement technique alone. If the latter ones could be identified at the beginning, they would be protected from possible adverse effects of this therapy. To this date, several studies aimed to identify clinical and

histological predictors of renal outcome provided contradictory results<sup>8</sup>.

## **SUBJECTS AND METHODS**

Review of demographic characteristics, clinical presentation, immunological, analytical and histological data in the population of patients with biopsyproven AAV in the Department of Nephrology of Centro Hospitalar do Porto - Hospital de Santo António, between 1997-2010. We defined as the main outcome of interest the need of renal replacement therapy (RRT) within 2 years after vasculitis diagnosis. We created a global histological index, attributing o to 1 point considering the absence or presence of the following: < 30% of normal glomeruli, > 50% cellular crescents, > 30% glomerulosclerosis, moderate to severe tubular atrophy and interstitial infiltrate. Twenty-seven patients with renal involvement by AAV confirmed by biopsy between 2007 and 2010 were included. The student *t*-test was used for continuous data and the chi-square test for categorical data. We performed a comparative analysis of clinical variables between 2 subgroups defined by the presence or absence of the defined outcome. We explored possible factors associated with the outcome through different multivariate logistic models; firstly, we constructed a model including age, sex, creatinine at presentation and histology score (model 1). A second model was then evaluated considering age, sex, glomerular and tubulointersticial scores (model 2). Finally, model 3 was developed to include only the 3 glomerular scores (normal glomeruli < 30%, cellular crescents > 50% and glomerulosclerosis > 50%), age and sex. All statistical data were calculated using SPSS 20.0 software, and results were considered statistically significant at p < 0.05.

### **RESULTS**

Patients had a mean age of 58 ± 17 (range: 30-85) years) and were predominantly male (16 vs. 11). The main clinical presentation was rapidly progressive renal insufficiency (n = 21) or acute kidney injury (n = 6).

Five patients had a history of diabetes mellitus and nine of hypertension. There were five patients with a previous history of chronic kidney disease (CKD) of unknown aetiology prior to the diagnosis of vasculitis, which led us to wonder if this would not be a manifestation of the disease.

Haematological changes were present in 93% (Table I) followed, in order of frequency, by systemic symptoms (70%; of these, the most frequent were anorexia – n = 16). Respiratory symptoms developed in 48% of the patients and were highly variable. Since renal biopsy was one of the inclusion criteria, all patient had acute kidney injury. Seven had hypertension of recent onset and four presented with peripheral oedema. Approximately 40% of patients had inflammatory arthralgia. Skin involvement was present in 19%. Neurological manifestations were rare (11%), and manifested as headache or mononeuritis multiplex.

Antineutrophil cytoplasmic antibodies (ANCA) against myeloperoxidase (MPO) was the most frequent

Table I Clinical Manifestations of ANCA Vasculitis in this population

Manifesta	tions (signs and symptoms)	N
Haematological	Normocytic normochromic anaemia	24
(n = 25. 93%)	Eosinophilia	3
	Leukocytosis	3
Systemic symptoms	Anorexia	16
(n = 19. 70%)	Asthenia	13
	Fever	9
	Significant weight loss	8
	Muscle weakness	7
	Emesis	4
Renal	Hypertension	7
(n = 12. 44%)	Peripheral oedema	4
	Macroscopic haematuria	3
	Oligoanuria	2
Respiratory	Haemoptysis/Epistaxis	10
(n = 13. 48%)	Alveolitis	5
	Asthma	2
	Nasal polyps	2
	Chronic rhinitis/Sinusitis	2
	Deviated nasal septum	2
	Nasal ulcers	1
Articular	Arthralgias of large joints	6
(n = 11. 41%)	Arthralgias of large and small joints	3
	Small joint arthritis	2
Cutaneous	Vasculitis	3
(n = 5. 19%)	Livedo Reticularis	1
	Petechial rash	1
Neurologic	Mononeuritis multiplex	2
(n = 3. 11%)	Headache	2

(81%). In 10 patients antinuclear antibodies (ANA) were positive, with no consumption of complement or anti-double stranded DNA antigen detectable.

At the time of biopsy, mean serum creatinine was 5.1 ± 2.5 mg/dL (range: 1.6-13mg/dL) and proteinuria average was  $2.36 \pm 2.1g/day$  (range: 0.23-7.9 g/day), reaching the nephrotic level in three patients.

Histological data was evaluated according to the established index (Table II): 17 patients had less than 30% of normal glomeruli, 13 had more than 50% of cellular crescents, seven had more than 30% of glomerulosclerosis, and moderate to severe tubular atrophy, interstitial infiltrates and arteriolosclerosis was present in 14, 18 and 10 patients, respectively.

Five patients had, at presentation, a transient need for dialysis; the creatinine average was  $7.5 \pm 2.1 \text{ mg/dl}$ (range: 1.89-11 mg/dl); all of them recovered after induction therapy, without needing long-term dialysis.

Table II Histological data classification, according to the index developed

Findings	Category	N
Normal glomeruli	₹30%	17
	> 30%	10
Cellular crescents	> 50%	13
	> 50% < 50%	14
Glomerulosclerosis	> 30%	7
	₹30%	20
Tubular atrophy	Slight	13
	Moderate to severe	14
Interstitial infiltrates	Slight	9
	Moderate to severe	18
Arteriolosclerosis	Slight	17
	Moderate to severe	10

The treatment instituted (Table III) and its duration was highly variable, according to the clinical status of the patient, response to treatment, associated complications, and the dependence of RRT. Regarding induction therapy, all patients received corticotherapy, alone or combined with intravenous Cyc (n = 14, three in association with plasmapheresis, three with plasmapheresis and intravenous immunoglobulins/i.v. lg) or oral cyc (n = 11). Maintenance therapy was instituted with Azathioprine/ AZA (n = 11), Cyclosporine/CsA (n = 2) and Mycophenolate Mofetil/MMF (n = 1).

As side-effects of therapy, one patient developed iatrogenic diabetes mellitus, three had significant cytopenias and eight had infectious complications requiring hospitalization (respiratory – n = 3; catheter -n = 3; urologic -n = 2).

After 2 years of follow-up, 15 patients did not need RRT (Group 1), while 12 became dependent on haemodialysis (Group 2). At presentation, the creatinine average was 4.5 ± 2.1mg/dl (range: 1.6-9mg/dl) and 5.75 ± 0.95 (range: 1.7-12.6mg/dl), respectively. Among the first group there was good response of extra-renal manifestations to treatment, without relapses in the first two years. Among patients in Group 2, there was recurrence of respiratory manifestations in two cases, with alveolar haemorrhage, justifying maintenance immunosuppressive therapy.

As regard the renal function in Group 1, mean serum creatinine at 2 years was 1.58 ± 0.6 mg/dL (range: 0.7-2.67mg/dL). The maximum proteinuria was 1.5 g/ day, with eight patients having less than 0.5 g/day.

There were no significant differences in respect to demographic data, clinical manifestations,

Table III Instituted treatment

Induction therapy			Maintenance therapy		
Scheme	n	Average length	Scheme	N	Average length
Methylprednisolone (MP)	1	3 bolus	P+AZA	14	11 months
MP + Prednisolone (P)	1	1 month			
MP+P+ oral Cyc	11	5 month	P+CsA	2	39 months
MP + P + Cyc i.v.	8	6 cycles			
MP+P+Cyc i.v+ plasmapheresis	3	9 cycles	P+MMF	1	23 months
MP+P+Cyc i.v+ plasmapheresis+ i.v Ig.	3	7 cycles			

MP = methylprednisolone; P = Prednisolone; Cyc = cyclophosphamide; i.v. = intravenous; Ig = immunoglobulins; AZA = azathioprine; CsA = cyclosporine; MMF = mycophenolate mofetil



Table IV

Comparative analysis of the clinical features

Variable	Total Population (n)	Group 1 (n)	Group 2 (n)	р
Female	11	4	7	0.096
Age (mean ±SD)	58±17	58.1±15.7	58.5±18.3	0.936
Manifestations				
Respiratory	13	8	5	0.704
Articular	11	7	4	0.696
Renal	12	4	8	0.057
Cutaneous	5	4	1	0.213
Neurological	3	2	1	1
Systemic	19	11	8	1
ANCA-MPO	22	11	11	0.342
Previous history of CKD	5	3	2	1

SD = standard deviation; CKD = chronic kidney disease; ANCA-MPO = Antineutrophil cytoplasmic antibodies against myeloperoxidase

Table V

Comparison of analytical changes

Variable	Total population (n)	Group 1 (n)	Group 2 (n)	р
Haematological	25	14	11	1
Proteinuria (mg/dL) at presentation	2.36±2.1	2.59±2.5	2.05±1.5	0.526
Creatinine (mg/dL) at presentation	5.11±2.5	4.5±2.1	5.75±0.95	0.046 (OR 1.73)

SD = standard deviation.

previous CKD, proteinuria at presentation or type of antibodies between Groups 1 and 2 (Tables IV and V), and these variables showed no predictive value for RRT need in 2 years. From clinical and analytical variables, creatinine at presentation had a p = 0.046 and an odds ratio (OR) of 1.73. The

Model 1, including age, sex, creatinine at presentation and histology score

	р	OR	95% CI
Global histological index	0.043	2.07	1.024-4.16

#### Table VII

Model 2, including age, sex, glomerular and tubulointersticial scores

	p	OR	95% CI
Tubulointerstitial findings	0.314		
Glomerular findings	0.049	3.99	1.004-15.869

#### Table VIII

Model 3, including the 3 glomerular scores, age and sex.

L	р	OR	95% CI
Normal glomeruli <30%	0.779		
Cellular crescents >50%	0.061		
Glomerulosclerosis >30%	0.04	16.97	1.12-231.06

remaining did not show significant predictive value to our outcome. The histological index we created (Table VI) proved to be a good predictor of outcome (p = 0.043, OR = 2.07). This means that for each 1-point increase in the created index the likelihood of needing RRT to 2 years rises 2.1 times. Glomerular findings (Table VII) were the most important (p = 0.049, OR = 3.99), and within these, glomerulosclerosis (Table VIII) was the main predictor factor (p = 0.046, OR = 16.07).

Regarding the evolution (Table IX) until now (and some patients reached a follow-up time of 14 years),

#### Table IX

Events after 2 years of follow-up.

ears after renal biopsy	Group 1	Group 2
3	Death (unknown reasons)	
	Lost to follow-up (n = 2)	
	Recurrence of respiratory	
4		
5	Deterioration of renal function => improved after Cyc + AZA	Renal transplantation (successfully)
6	Deterioration of renal function => RRT	
7		Renal transplantation (successfully)
8	Death (septic shock)	
9		
10		Renal transplantation => Recurrence in the graft

from Group 1, two patients died: one for unknown reasons (3rd year) and the other after septic shock following a respiratory infection (8th year). Two patients were lost to follow-up. One patient, 3 years after biopsy, had recurrence of respiratory symptoms, requiring immunosuppressive therapy with AZA and Prednisolone, with clinical improvement. Two patients had deterioration of renal function, due to recurrence of vasculitis, with increased titers of ANCA - one patient recovered (cyc orally for 6 months, followed by AZA), but the other required RRT.

Among patients in Group 2, three underwent renal transplantation; of these, two had a good response, but one had recurrence of renal vasculitis with graft loss and started dialysis again. All of them remained without extrarenal symptoms.

#### DISCUSSION

Demographic characteristics of this population are similar to those described in the literature, with an average age at diagnosis of 58 and with male predominance9. Since one of the selection criteria was renal biopsy, renal injury was common to all patients. Kidney and lung manifestations were the most frequent causes for seeking medical attention. We found a very high incidence of systemic symptoms, often alone and of difficult appreciation, as described in other studies<sup>10</sup>.

Introduction of Cyc in the AAV treatment has improved prognosis, but the side-effects of long-term treatment are serious<sup>11</sup>. Maintenance treatment can be performed with AZA, although its intensity and duration is still under discussion. Generalized disease can be treated with pulses of intravenous Cyc or with MMF.

A study evaluating remission induction with MMF was performed in Chinese patients with new-onset renal AAV. Adverse effects and renal function at 6 months were similar between the MMF and Cyc groups, but the dose of Cyc was low  $(0.5-1 \text{ g/m}^2)$ compared with conventional European schemes<sup>12</sup>. The IMPROVE study compared the efficacy of the 2 drugs in maintenance therapy - of 156 patients, 80 received AZA (2mg/kg/day) and 76 were treated with MMF (2g/day); the authors verified the same rate of adverse effects, but more relapses in patients treated with MMF<sup>13</sup>.

Some studies demonstrate the efficacy of Rituximab (RTX) in inducing remission without the concomitant use of classical immunosuppressants. A recent report enrolling 197 patients, compared 18-month efficacy of a single course of RTX and conventional immunosupression<sup>14</sup>. The authors reported no significant difference between the groups in any efficacy measure, including the duration of complete remission and the frequency or severity of relapses. They also found no differences regarding adverse events. In our population, no patient was treated with RTX. Corticosteroids are part of treatment in all regimens but the intensity and duration of steroid treatment is still under discussion. In lifethreatening disease, the adjunctive efficacy of plasma exchange has been demonstrated. In the MEPEX trial, 151 patients were randomized to adjunctive therapy with either 7 plasma exchange treatments or 3 pulses of intravenous methylprednisolone. The mortality in both arms was the same, but renal survival was much better in patients treated with plasma exchange<sup>15</sup>. Small studies found a benefit of i.v. Ig in persistent disease.

In our population, choice and duration of therapy was dependent on the previous condition of the patients and their response to treatment, although, in most cases, we initiated therapy with corticoids and Cyc followed by AZA. It is also important to consider the evolution of the therapeutic schemes over the study period which, by itself, has conditioned the different choices.

Two years after biopsy, 12 patients (44%) needed RRT. Since medical treatment had different time duration and patients had different characteristics, we could not evaluate its potential effect as a predictor of outcome. It is, however, important to refer that all patients who received plasmapheresis needed dialysis at presentation; only three of these were on RRT after 2 years.

Although some studies in the past correlated clinical variables (such as gender and advanced age) with poorer prognosis, there was no statistically significant relationship between those and the need for RRT at 2 years in our population. The ANCA pattern showed no significant relationship with the outcome in our study, although others linked ANCA pattern with the likelihood of relapse<sup>16</sup>. Similarly to what was found in some published studies<sup>17-19</sup>, we



found that higher values of creatinine at presentation correlated with the clinical outcome in a model adjusted to patient gender and age.

Many authors have evaluated the role of the histological findings in the prediction of renal prognosis of ANCA vasculitis. The findings have often been inconsistent<sup>18</sup>, with some studies advocating best performance of glomerular findings, while others report best performances of tubulointerstitial findings. Even within each group, the studies are not consistent about which injury has higher predictive value. Meta-analyses are difficult to conduct: the studies were very heterogeneous<sup>9</sup>, regarding inclusion criteria, scoring methods, treatment strategies and end-points.

We developed a histological index that considered both glomerular and tubulointerstitial findings, adjusted for age and sex, and found that it was related to the outcome in our population. Comparing tubulointerstitial and glomerular findings, we found the latter one to be a better predictor, with glomerulosclerosis as the most important. Several studies have found similar results. In 2010, an international group of pathologists established an index of 4 histological classes of ANCA vasculitis: focal, crescentic, mixed and sclerosis<sup>21</sup>. These classes seemed to relate, by the order listed, with the renal prognosis, which was worse in the sclerotic. Patients with sclerotic class also had a higher risk of mortality in the first year. Two validation studies were published immediately after the initial publication. One of these was in the Chinese population, comprising 121 patients, and showed increased likelihood of progression to endstage renal disease (ESRD) with ascending categories of focal, mixed, crescentic and sclerotic classes. The other study involved 87 Japanese patients and found that renal survival in focal, mixed, crescentic and sclerotic classes at 5 year follow-up was 100%, 96%, 86%, and 29% respectively. Thereafter, there were further validation studies confirming a worse renal prognosis for the sclerotic histological category. In all but the original study, patients with crescentic class biopsies showed better outcome than those with mixed class biopsies. These findings related to the regression of active lesions with therapy, whereas chronic lesions were not reversible<sup>22</sup>.

However, despite interesting results, our study has some limitations, resulting from the following

main reasons: [1] small population sample, since this is a disease with a low incidence; [2] retrospective design, which often complicates access to the data; [3] the heterogeneity of therapeutic regimens instituted, which does not allow us to compare their role in the prevention of progression to ESRD. Therefore, confirmation of its applicability in a Portuguese population throughout a multicentre study is necessary.

#### CONCLUSION

In our population, renal function at presentation and histologic findings were important predictors of the need for renal replacement therapy at two years. Among the histologic factors, the glomerulosclerosis arose as the most important predictor. The evaluation of these factors could prove to be very important in clinical practice, allowing for the selection of patients with poor renal prognosis in whom the introduction of immunosuppression would be more deleterious than advantageous.

#### Conflict of interest statement:

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#### Correspondence to:

Drª Ana Luísa Antunes Department of Internal Medicine Hospital de Braga. Sete Fontes, 4710-243 São Victor. Braga, Portugal

E-mail: ana\_luisa\_antunes@hotmail.com

